



PTO/SB/21 (03-03)

Approved for use through 07/31/2006, OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/747,004	<b>RECEIVED</b> <b>CENTRAL FAX CENTER</b> <b>MAR 24 2004</b> <b>OFFICIAL</b>
	Filing Date	12/21/2000	
	First Named Inventor	Jing-shan Hu	
	Art Unit	1637	
	Examiner Name	Jeffrey Siew	
Total Number of Pages In This Submission	11	Attorney Docket Number	3366.1

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Certificate of Limited Recognition under 37 CFR 10.9(b)
Remarks		The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account 01-0431.
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm or Individual name	Priyadarshini Rath [Limited Recognition under 37 CFR 10.9(b)]	
Signature		
Date	03/24/2004	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Priyadarshini Rath	Centralized PTO facsimile number (703) 872-9306	
Signature		Date	03/24/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 1280**Complete if Known**

Application Number	09/747,004
Filing Date	December 21, 2000
First Named Inventor	Jing-shan Hu
Examiner Name	Jeffrey Siew
Art Unit	1637
Attorney Docket No.	3388.1

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																					
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 01-0431 Deposit Account Name: Affymetrix, Inc. The Director is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051 85 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052 25 Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053 130 Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812 2,520 For filing a request for reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804 920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805 1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251 55 Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>420</td><td>2252 210 Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>950</td><td>2253 475 Extension for reply within third month</td><td>950</td></tr> <tr><td>1254</td><td>1,480</td><td>2254 740 Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>2,010</td><td>2255 1,005 Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>330</td><td>2401 165 Notice of Appeal</td><td>330</td></tr> <tr><td>1402</td><td>330</td><td>2402 165 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>290</td><td>2403 145 Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451 1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452 55 Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,330</td><td>2453 685 Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,330</td><td>2501 665 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>480</td><td>2502 240 Design issue fee</td><td></td></tr> <tr><td>1503</td><td>640</td><td>2503 320 Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460 130 Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807 50 Processing fee under 37 CFR 1.17 (a)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806 180 Submission of Information Disclosure Sheet</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021 40 Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>770</td><td>2809 385 Filing a submission after first rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>770</td><td>2810 385 For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>770</td><td>2801 385 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802 900 Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>		Large Entity	Small Entity	Fee Description	Fee Paid	1051	130	2051 85 Surcharge - late filing fee or oath		1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet		1053	130	1053 130 Non-English specification		1812	2,520	1812 2,520 For filing a request for reexamination		1804	920*	1804 920* Requesting publication of SIR prior to Examiner action		1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action		1251	110	2251 55 Extension for reply within first month		1252	420	2252 210 Extension for reply within second month		1253	950	2253 475 Extension for reply within third month	950	1254	1,480	2254 740 Extension for reply within fourth month		1255	2,010	2255 1,005 Extension for reply within fifth month		1401	330	2401 165 Notice of Appeal	330	1402	330	2402 165 Filing a brief in support of an appeal		1403	290	2403 145 Request for oral hearing		1451	1,510	1451 1,510 Petition to institute a public use proceeding		1452	110	2452 55 Petition to revive - unavoidable		1453	1,330	2453 685 Petition to revive - unintentional		1501	1,330	2501 665 Utility issue fee (or reissue)		1502	480	2502 240 Design issue fee		1503	640	2503 320 Plant issue fee		1460	130	1460 130 Petitions to the Commissioner		1807	50	1807 50 Processing fee under 37 CFR 1.17 (a)		1806	180	1806 180 Submission of Information Disclosure Sheet		8021	40	8021 40 Recording each patent assignment per property (times number of properties)		1809	770	2809 385 Filing a submission after first rejection (37 CFR § 1.129(a))		1810	770	2810 385 For each additional invention to be examined (37 CFR § 1.129(b))		1801	770	2801 385 Request for Continued Examination (RCE)		1802	900	1802 900 Request for expedited examination of a design application	
Large Entity	Small Entity	Fee Description	Fee Paid																																																																																																																				
1051	130	2051 85 Surcharge - late filing fee or oath																																																																																																																					
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet																																																																																																																					
1053	130	1053 130 Non-English specification																																																																																																																					
1812	2,520	1812 2,520 For filing a request for reexamination																																																																																																																					
1804	920*	1804 920* Requesting publication of SIR prior to Examiner action																																																																																																																					
1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action																																																																																																																					
1251	110	2251 55 Extension for reply within first month																																																																																																																					
1252	420	2252 210 Extension for reply within second month																																																																																																																					
1253	950	2253 475 Extension for reply within third month	950																																																																																																																				
1254	1,480	2254 740 Extension for reply within fourth month																																																																																																																					
1255	2,010	2255 1,005 Extension for reply within fifth month																																																																																																																					
1401	330	2401 165 Notice of Appeal	330																																																																																																																				
1402	330	2402 165 Filing a brief in support of an appeal																																																																																																																					
1403	290	2403 145 Request for oral hearing																																																																																																																					
1451	1,510	1451 1,510 Petition to institute a public use proceeding																																																																																																																					
1452	110	2452 55 Petition to revive - unavoidable																																																																																																																					
1453	1,330	2453 685 Petition to revive - unintentional																																																																																																																					
1501	1,330	2501 665 Utility issue fee (or reissue)																																																																																																																					
1502	480	2502 240 Design issue fee																																																																																																																					
1503	640	2503 320 Plant issue fee																																																																																																																					
1460	130	1460 130 Petitions to the Commissioner																																																																																																																					
1807	50	1807 50 Processing fee under 37 CFR 1.17 (a)																																																																																																																					
1806	180	1806 180 Submission of Information Disclosure Sheet																																																																																																																					
8021	40	8021 40 Recording each patent assignment per property (times number of properties)																																																																																																																					
1809	770	2809 385 Filing a submission after first rejection (37 CFR § 1.129(a))																																																																																																																					
1810	770	2810 385 For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																					
1801	770	2801 385 Request for Continued Examination (RCE)																																																																																																																					
1802	900	1802 900 Request for expedited examination of a design application																																																																																																																					
<b>1. BASIC FILING FEE</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1001</td><td>770</td><td>2001 385 Utility filing fee</td><td></td></tr> <tr><td>1002</td><td>340</td><td>2002 170 Design filing fee</td><td></td></tr> <tr><td>1003</td><td>530</td><td>2003 265 Plant filing fee</td><td></td></tr> <tr><td>1004</td><td>770</td><td>2004 385 Reissue filing fee</td><td></td></tr> <tr><td>1005</td><td>160</td><td>2005 80 Provisional filing fee</td><td></td></tr> </tbody> </table> <b>SUBTOTAL (1)</b> (\$ 0)		Large Entity	Small Entity	Fee Description	Fee Paid	1001	770	2001 385 Utility filing fee		1002	340	2002 170 Design filing fee		1003	530	2003 265 Plant filing fee		1004	770	2004 385 Reissue filing fee		1005	160	2005 80 Provisional filing fee		<b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1202</td><td>18</td><td>2202 9 Claims in excess of 20</td><td></td></tr> <tr><td>1201</td><td>86</td><td>2201 43 Independent claims in excess of 3</td><td></td></tr> <tr><td>1203</td><td>290</td><td>2203 145 Multiple dependent claim, if not paid</td><td></td></tr> <tr><td>1204</td><td>86</td><td>2204 43 ** Reissue independent claims over original patent</td><td></td></tr> <tr><td>1205</td><td>18</td><td>2205 9 ** Reissue claims in excess of 20 and over original patent</td><td></td></tr> </tbody> </table> <b>SUBTOTAL (2)</b> (\$ 0)		Large Entity	Small Entity	Fee Description	Fee Paid	1202	18	2202 9 Claims in excess of 20		1201	86	2201 43 Independent claims in excess of 3		1203	290	2203 145 Multiple dependent claim, if not paid		1204	86	2204 43 ** Reissue independent claims over original patent		1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent																																																																					
Large Entity	Small Entity	Fee Description	Fee Paid																																																																																																																				
1001	770	2001 385 Utility filing fee																																																																																																																					
1002	340	2002 170 Design filing fee																																																																																																																					
1003	530	2003 265 Plant filing fee																																																																																																																					
1004	770	2004 385 Reissue filing fee																																																																																																																					
1005	160	2005 80 Provisional filing fee																																																																																																																					
Large Entity	Small Entity	Fee Description	Fee Paid																																																																																																																				
1202	18	2202 9 Claims in excess of 20																																																																																																																					
1201	86	2201 43 Independent claims in excess of 3																																																																																																																					
1203	290	2203 145 Multiple dependent claim, if not paid																																																																																																																					
1204	86	2204 43 ** Reissue independent claims over original patent																																																																																																																					
1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent																																																																																																																					
<b>Other fee (specify)</b> _____ <b>*Reduced by Basic Filing Fee Paid</b>		<b>SUBTOTAL (3)</b> (\$ 1280)																																																																																																																					

\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Priyadarshini Rath	Registration No. (Attorney/Agent)	Unfiled Recog. (37 CFR 10.9(b))
Signature	<i>Priyadarshini Rath</i>	Telephone	408-731-5000
		Date	03/24/2004

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

RECEIVED  
CENTRAL FAX CENTER

MAR 24 2004



**Fitch, Even, Tabin & Flannery**  
*Intellectual Property Law*

**OFFICIAL**

# F A C S I M I L E

Name: Technology Centers  
FAX: (703) 872-9306  
From: JAMES J. SCHUMANN  
Today's Date: March 24, 2004  
Application No.: 10/099,240  
Filing Date: March 15, 2002  
Inventor: Jean E.F. Rivier et al.  
Attorney Docket No.: 72726

Pages: 2 (including this 1-page coversheet)

Transmitted herewith for filing via facsimile:

Status Request (1 pg.)

The documents accompanying this facsimile transmittal cover sheet contain information from the law firm of Fitch, Even, Tabin & Flannery which may be confidential and/or legally privileged. The documents are intended only for the personal and confidential use of the addressee identified above. If you are not the intended recipient or an agent responsible for delivering these documents to the intended recipient, you are hereby notified that any review, disclosure, copying, distribution or the taking of any action in reliance on the contents of this transmitted information is strictly prohibited. If you have received this facsimile in error, please immediately notify the Firm so that we can arrange for the return of the original documents to us. Thank you.

9276 Scranton Road, Suite 250  
San Diego, California 92121-7701  
Telephone 858-552-1311  
Fax 858-552-0095

DOCKET NO. 72726

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jean E.F. Rivier et al.  
Serial No.: 10/099,240  
Filed: March 15, 2002  
For: SSTR1-SELECTIVE ANALOGS  
Group Art 1646  
Unit:  
Examiner(s): TBD

## Certificate of Facsimile Transmission

I hereby certify that this paper is being sent via  
facsimile to 703.872.9306 to the United States  
Patent and Trademark Office on this date.

3-24-04  
Date

*Karin E. Harden*  
Karin E. Harden

STATUS REQUEST

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The above-identified application, Serial Number 10/099,240, was filed on March 15, 2002, more than two years ago. To date, no substantive communication relative to this application has been received; as such, this inquiry is made as to when such may be expected.

Respectfully submitted,  
FITCH, EVEN, TABIN & FLANNERY

By: 

James J. Schumann  
Registration No. 20,856

March 24, 2004

Address all correspondence to:  
FITCH, EVEN, TABIN & FLANNERY  
120 So. LaSalle Street, Suite 1600  
Chicago, Illinois 60603-3406  
Telephone: (858) 552-1311  
Facsimile: (858) 552-0095